

Joan E. Boros

Joan E. Boros is a Partner in Jorden Burt LLP's Washington, D.C. office. Her securities, corporate, investment company, and insurance law practice experience includes all aspects of the design, organization, qualification, state and federal registration, applications for exemptions, no-action requests, and compliance of investment companies registered under the Investment Company Act of 1940. She has parallel experience with respect to related entities, such as investment advisers and broker-dealers. A current focus of her practice is on regulatory risk assessment, and the compliance programs and review processes applicable to investment companies and related entities. In each of these areas, Ms. Boros provides assistance to clients in formulating, negotiating and documenting various activities and relationships. Investment company regulation requires a working knowledge of related regulatory bodies and disciplines, including the National Association of Securities Dealers, Inc., the Commodities Futures Trading Commission, the various state securities departments, Employee Retirement Security Act of 1976, as amended, and the federal tax laws applicable to such entities and their offerings. She also provides advice and opinions with regard to the status of certain offerings under the Securities Act of 1933 and other federal securities laws.

Ms. Boros gained her experience as an Attorney-Advisor in the Office of the Solicitor, U.S. Department of Labor and in the Division of Investment Management, U.S. Securities and Exchange Commission from 1980 to 1983, and as a private attorney specializing in these matters since 1983.

Ms. Boros has been a member of the faculty for seminars conducted by the American Law Institute/American Bar Association, Federal Bar Association/Commerce Clearing House, the Association for Investment Management and Research, the Society of Actuaries and the National Association for Variable Annuities. Ms. Boros co-chaired the annual ALI/ABA Conference on Securities, Tax and ERISA Issues Affecting Insurance Companies for the past nine years. She also co-chairs an annual Practicing Law Institute Program on securities issues relating to variable insurance products. She has been a special guest speaker at various national training programs as well as a frequent contributor to industry newsletters and journals.

Born in New York, Ms. Boros was admitted to the D.C. and Maryland Bars in 1980. She received her law degree (J.D., with honors, Order of the Coif) from the University of Maryland School of Law in 1980. She attended Vassar College and George Washington University (B.A. 1957). Prior to entering law school, Ms. Boros was a business promotion and marketing consultant to foreign businesses and governments.